

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small> | Attorney Docket No. | 1022.1157-013 |
| | First Named Inventor or Application Identifier | Valerie J. Ryan |
| | Express Mail Label No. | EV214934391US |

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| Title of Invention | METHODS FOR LOWERING VISCOSITY OF GLUCOMANNAN COMPOSITIONS, USES AND COMPOSITIONS |
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
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2214 U.S. PTO
10759377

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 24**
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 3**
☐ Fig. of the Drawings for Publication ☐
☒ No Figure to be Published
4. ☒ Oath or Declaration **Total Pages 3**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. ☐ Paper Copy (identical to computer copy)
[] Pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
☒ Assignee - Opta Food Ingredients, Inc.
 Bedford, Massachusetts
8. ☐ Power of Attorney ☐ 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document *(if applicable)*
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request *(check parent application)*
16. ☒ Other Remarks/Change of Correspondence Address

17. **If a CONTINUING APPLICATION**, check appropriate box; supply the requisite information.
- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/306,530
 Prior application information: Examiner: Shengjun Wang Group Art Unit: 1617
- The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**
(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

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|---------|---|-----------|----------------|----------|----------------|
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| | HAMILTON, BROOK, SMITH & REYNOLDS, P.C. | | | | |
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| Signature | | Date | |
| Submitted by Typed or Printed Name | Pamela A. Torpey | Reg. Number | 45,736 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Valerie J. Ryan, Chienkuo Ronnie Yuan and Guy A. Crosby

Continuation Application of

Application No.: 09/306,530

Filed: May 6, 1999

For: METHODS FOR LOWERING VISCOSITY OF GLUCOMANNAN
COMPOSITIONS, USES AND COMPOSITIONS

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| Date: <u>January 16, 2004</u> EXPRESS MAIL LABEL NO. <u>EV 21493 4391 US</u> |
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REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 09/306,530 filed on May 6, 1999 to which priority is claimed under 35 U.S.C. §120.

The specification of the present application is substantially the same as that of the parent application. A related applications paragraph has been added as the first paragraph in the specification. The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added.

All of the claims from the parent application have been omitted. A new claim set is being presented herein for examination. A newly executed declaration under 37 C.F.R. 1.63 is not believed to be necessary under 37 C.F.R. 1.63(d) or 1.67(b).

Applicants have copied claims 1-9, 12-14, 17, 18 and 20 of U.S. Publication No. US2003/0013679 A1, filed May 29, 2002 and published January 16, 2003 to Wolf *et al.*

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WO 02/096353 directed to the same subject matter was published on December 5, 2003. Applicants note U.S. Publication Nos. US2002/0193344, published December 19, 2003 and US2003/025301, published July 3, 2003 also contain related subject matter. All the publications claim priority to U.S. Provisional Application No. 60/294,817 filed on May 31, 2001.

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney and a Notice of Change of Correspondence Address was submitted in parent Application No. 09/306,530. Please send all correspondence in the above-identified continuation application to: Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **Alice O. Carroll, Esq.** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Pamela A. Torpey
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Dated: January 16, 2004